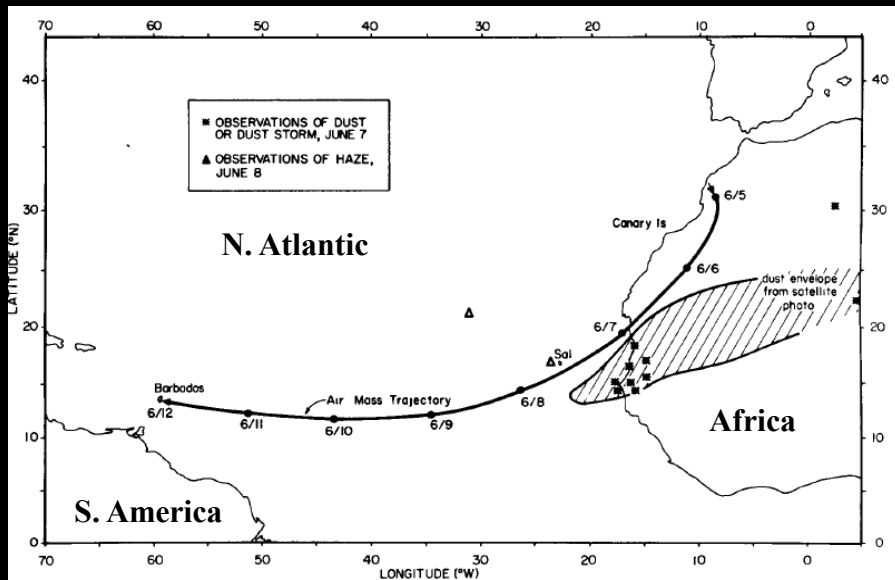


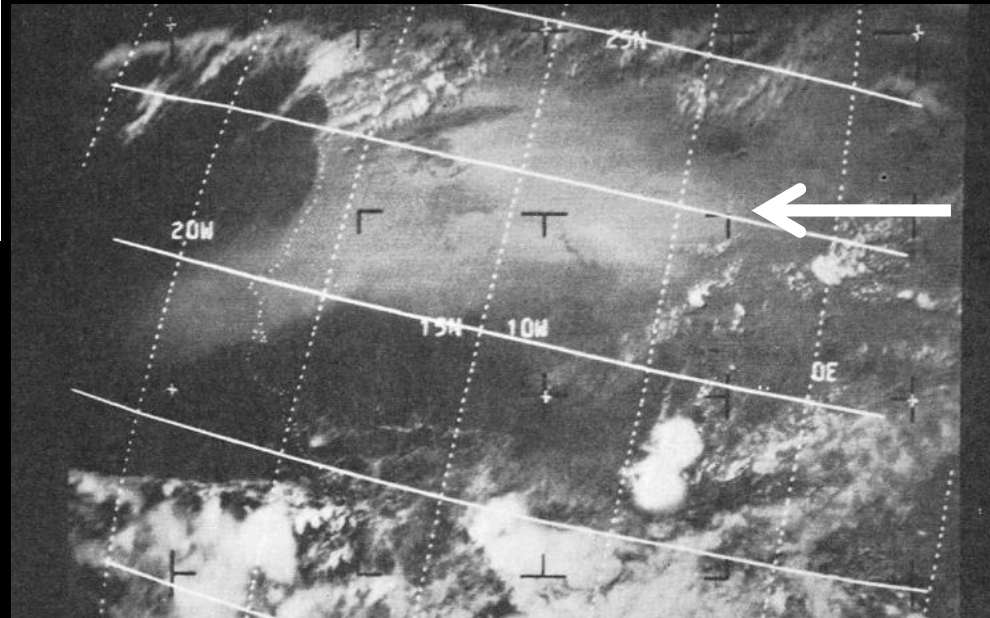
# *The EOS Aerosol Legacy – Steps Toward Characterizing Aerosol-Climate Forcing*

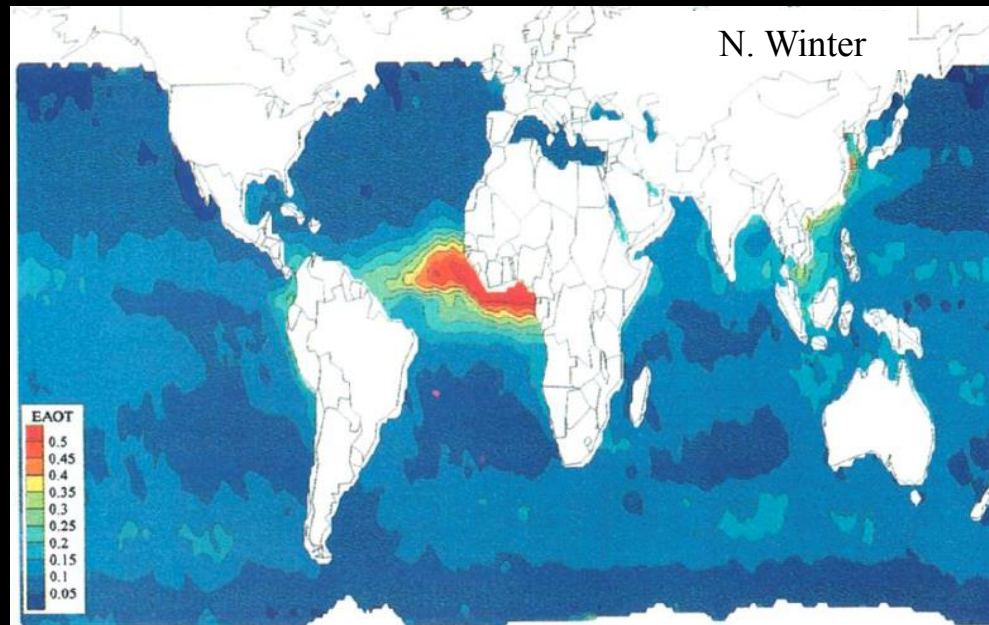
*Ralph Kahn*

NASA/Goddard Space Flight Center

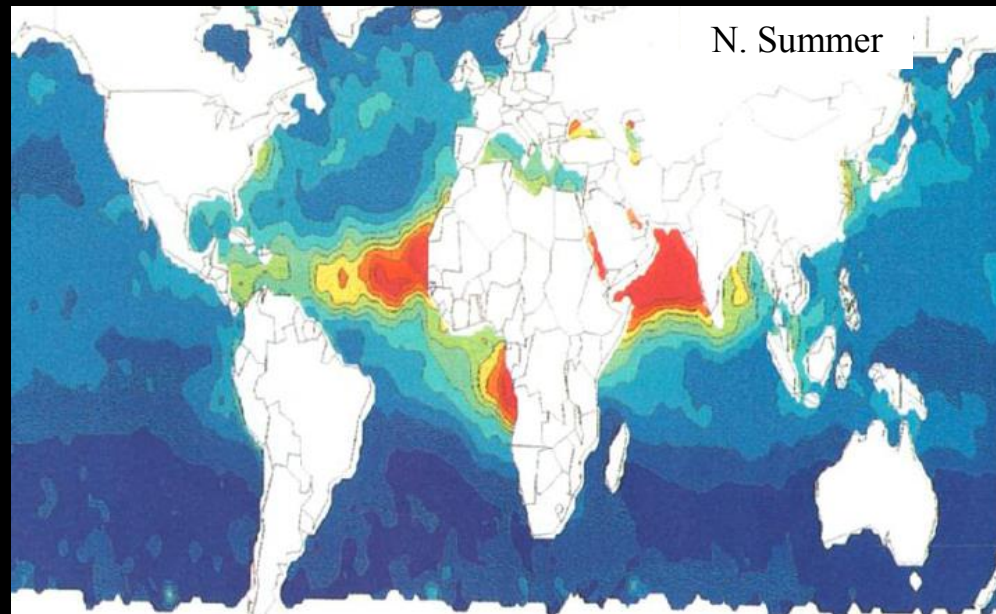


Saharan Dust Storm  
8-day trajectory  
Beginning 07 June 1967  
ESSA 5 Satellite

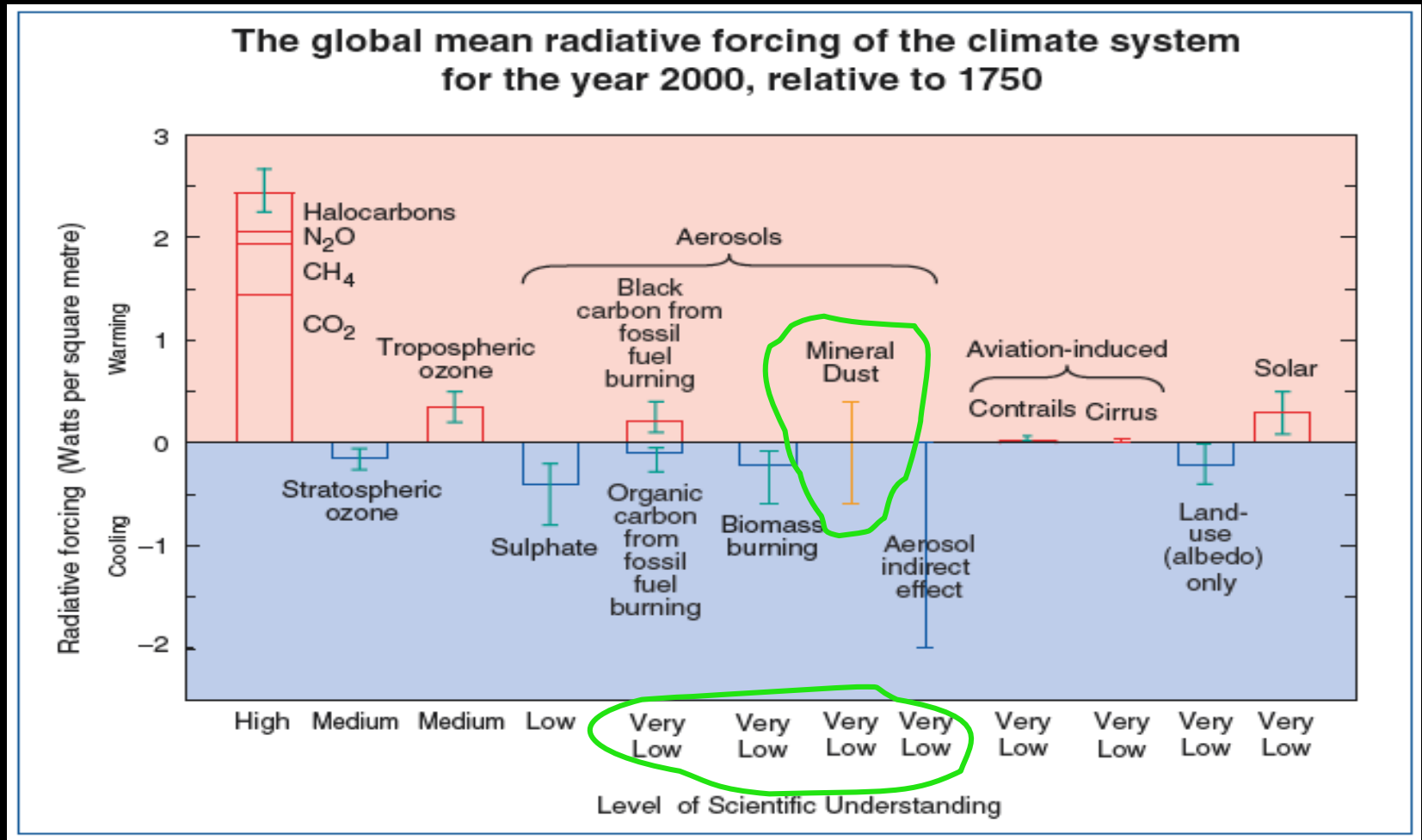




**AVHRR**  
July 1989-June 1991  
Aerosol Optical Depth



# The State of Aerosol-Climate-Forcing Understanding c. 2001

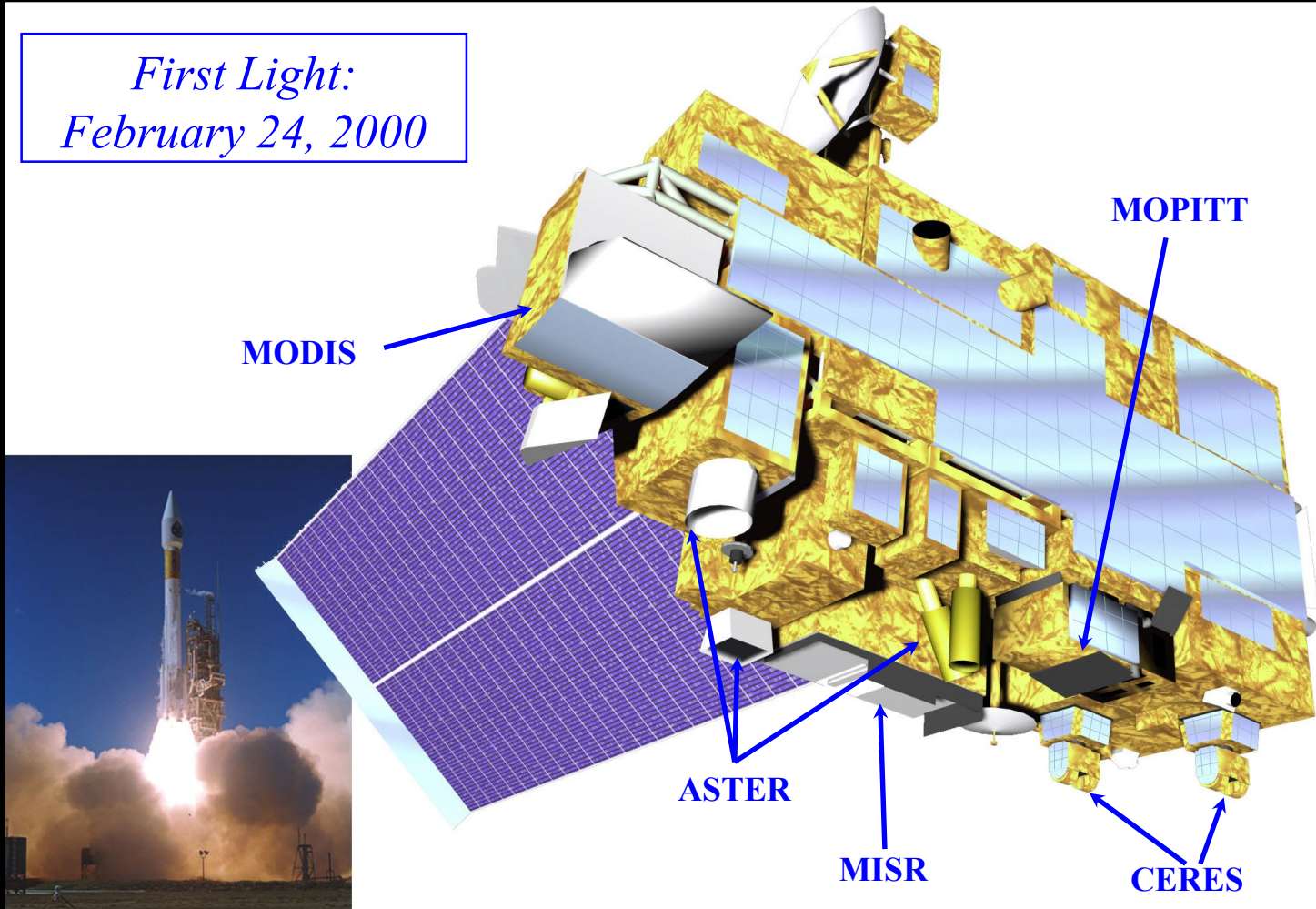


*IPCC AR3, 2001*

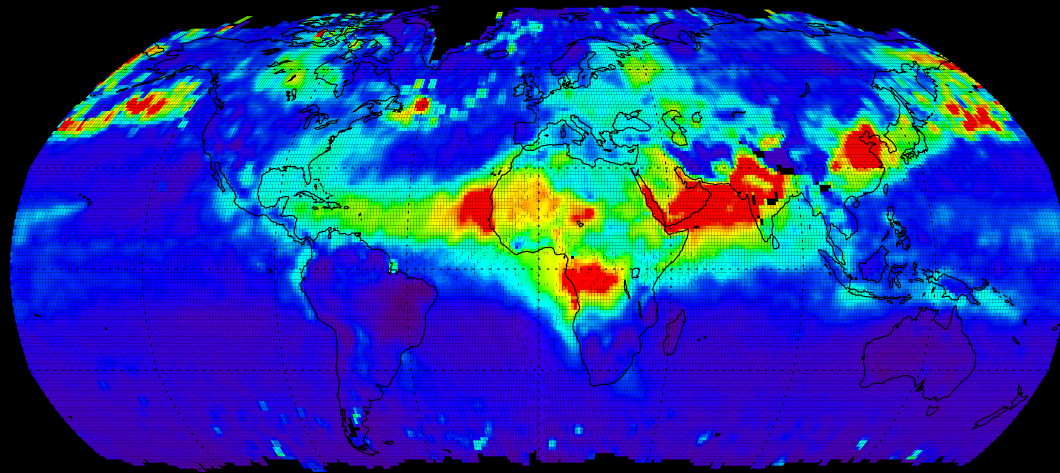


# The NASA Earth Observing System's Terra Satellite

*First Light:  
February 24, 2000*

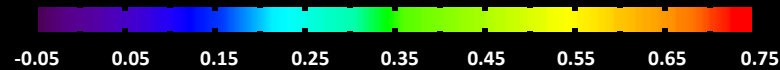




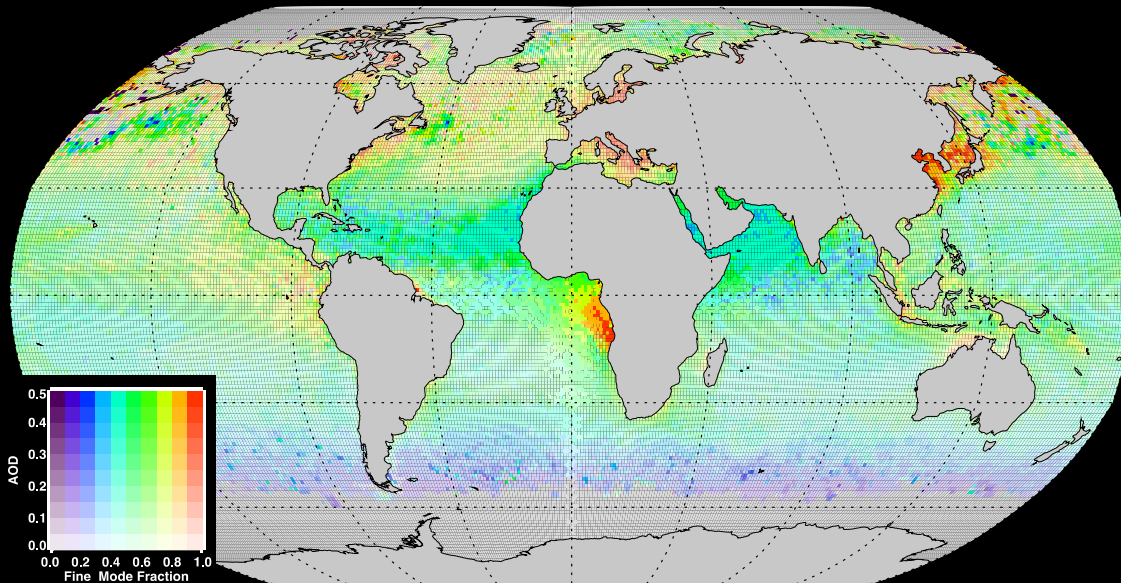


Mid-Visible  
AOD

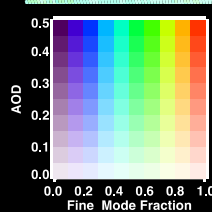
AOD at 550 nm



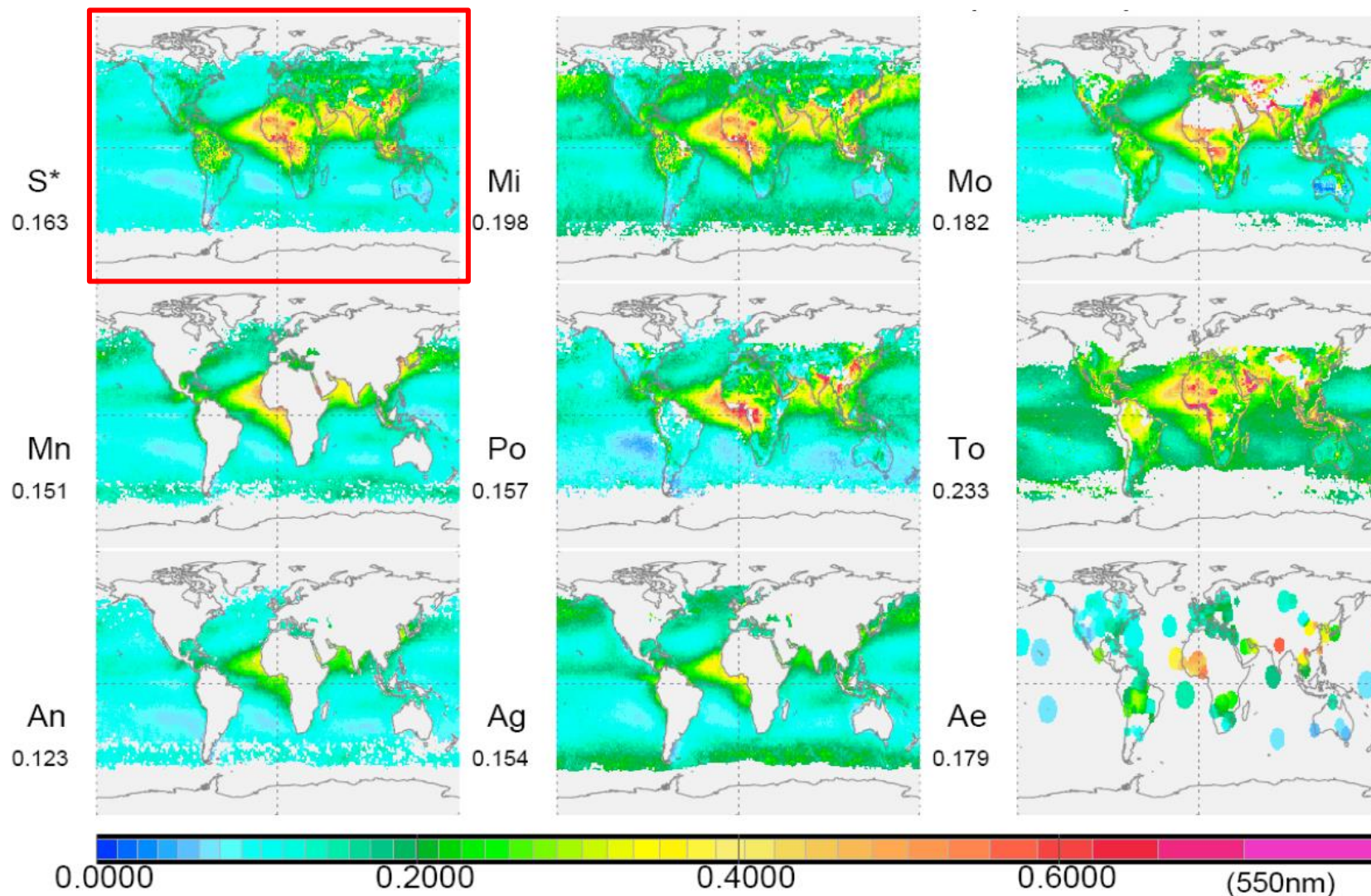
MODIS  
July 2010  
Monthly Average  
“Dark Target” +  
“Deep Blue”



Fine-Mode  
Fraction  
+ AOD



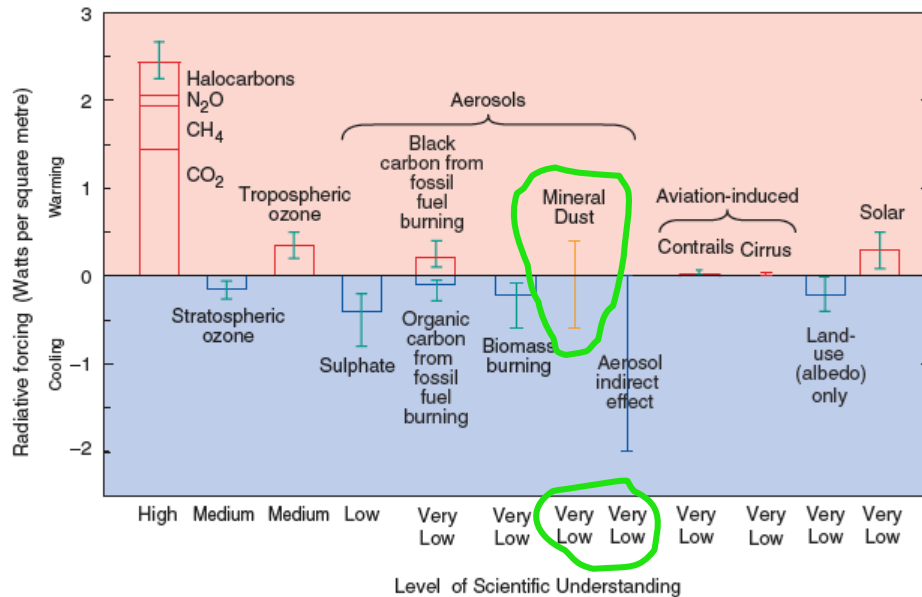
# Multi-year Annual Average *Aerosol Optical Depth* from Different Measurements + *Synthesis* ( $S^*$ )





# However, Even DARF and Anthropogenic DARF are *NOT* Solved Problems (Yet)

The global mean radiative forcing of the climate system for the year 2000, relative to 1750



Radiative Forcing Components

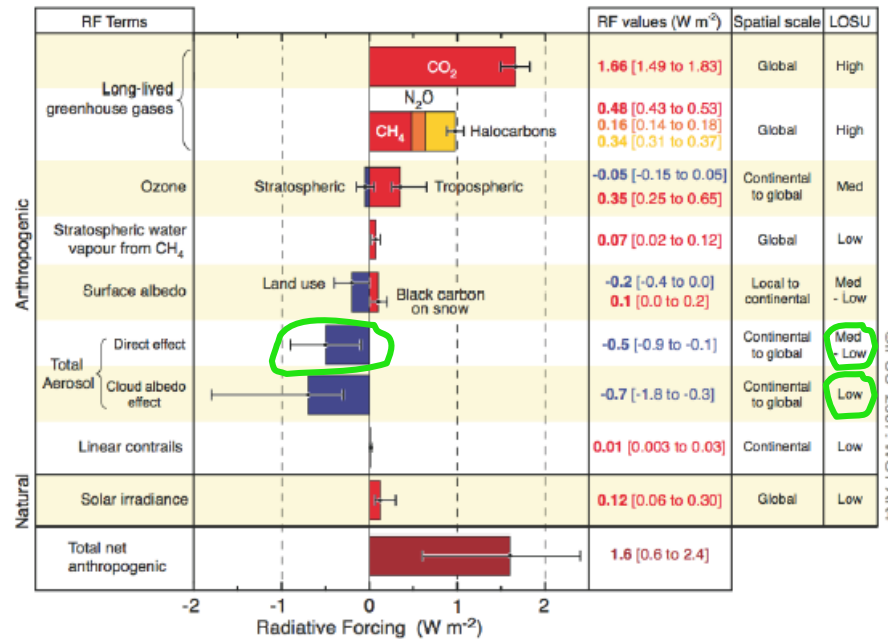


FIGURE SPM-2. Global-average radiative forcing (RF) estimates and ranges in 2005 for anthropogenic carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and other important agents and mechanisms, together with the typical geographical extent (spatial scale) of the forcing and the assessed level of scientific understanding (LOSU). The net anthropogenic radiative forcing and its range are also shown. These require summing asymmetric uncertainty estimates from the component terms, and cannot be obtained by simple addition. Additional forcing factors not included here are considered to have a very low LOSU. Volcanic aerosols contribute an additional natural forcing but are not included in this figure due to their episodic nature. Range for linear contrails does not include other possible effects of aviation on cloudiness. {2.9, Figure 2.20}

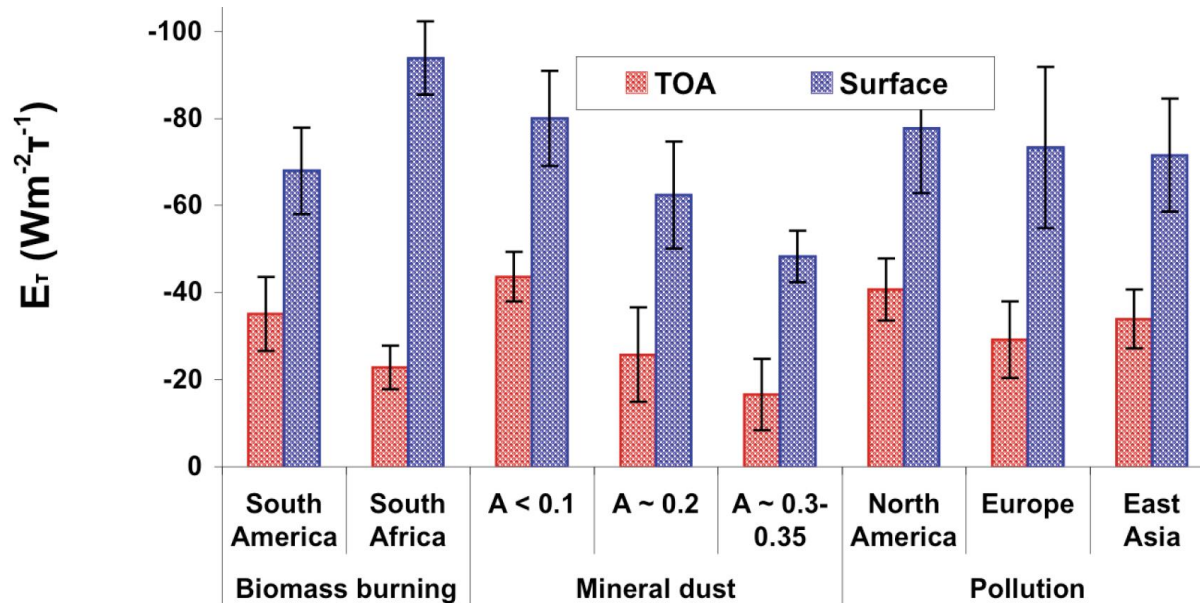
*IPCC AR3, 2001*  
(Pre-EOS)

*IPCC AR4, 2007*  
(EOS + ~ 6 years)



# AOD Alone is Not Enough – Even for Direct Aerosol Radiative Forcing

## Direct Aerosol Radiative *Forcing Efficiency* per unit AOD

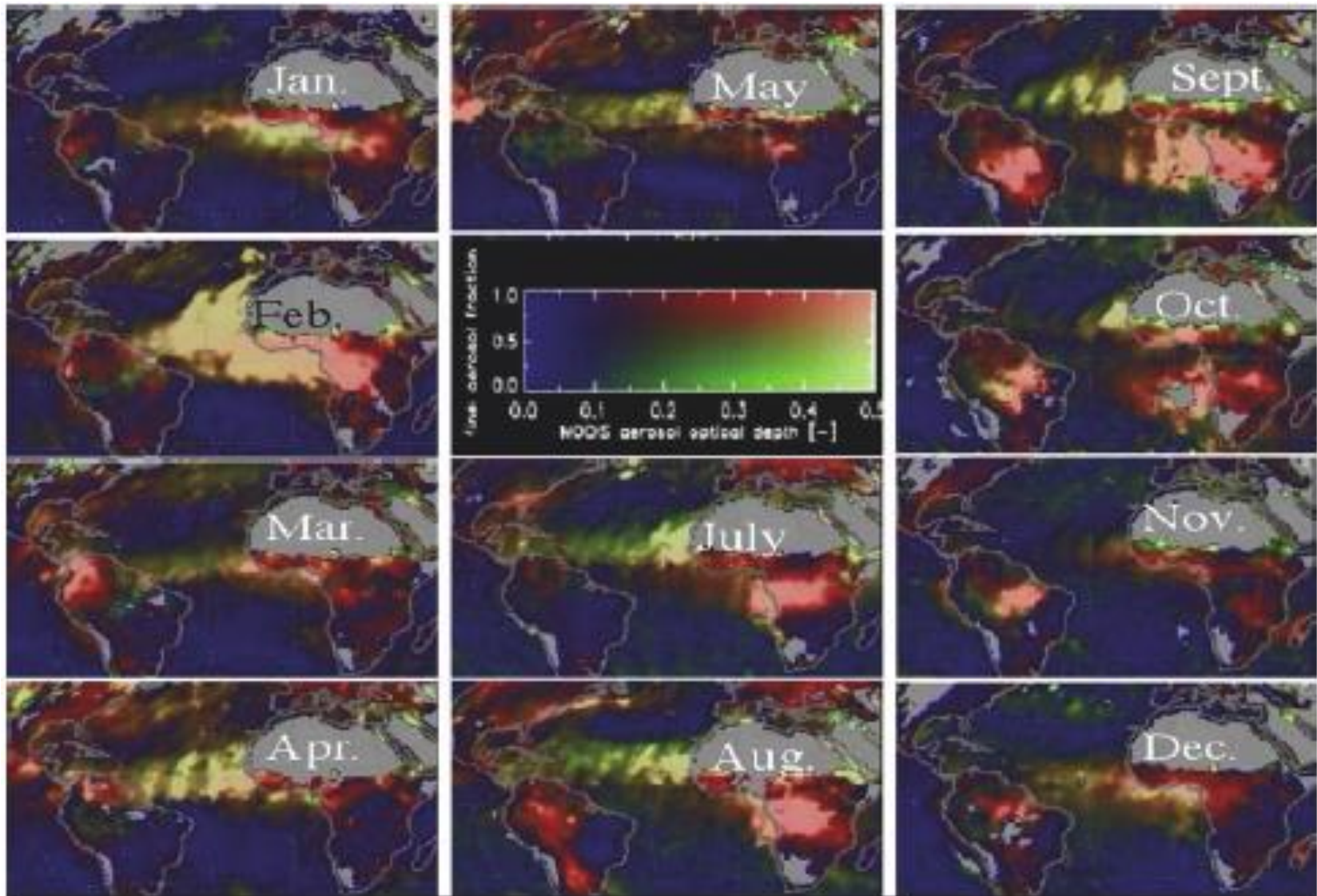


From: Zhao et al., JGR 2005

- *Aerosol SSA, Vert. Dist., and Surface Albedo* critical, esp. for *Surface Forcing*
- For *Indirect Forcing*, *Hygroscopicity* and *CCN # Concentration* are critical

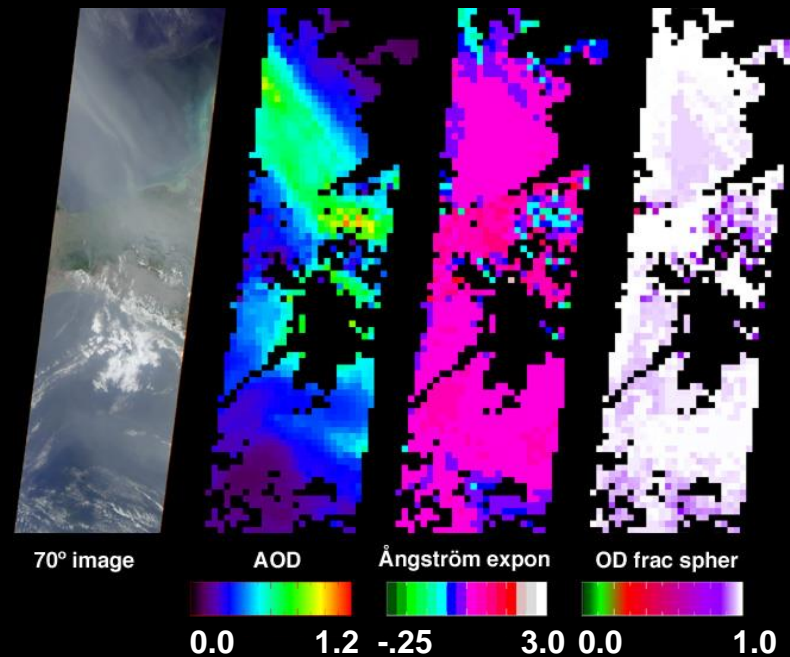
# One MODIS Aerosol Type Classification:

**Low AOT** (blue), **High AOT+Coarse** (green), **High AOT+Fine** (red)



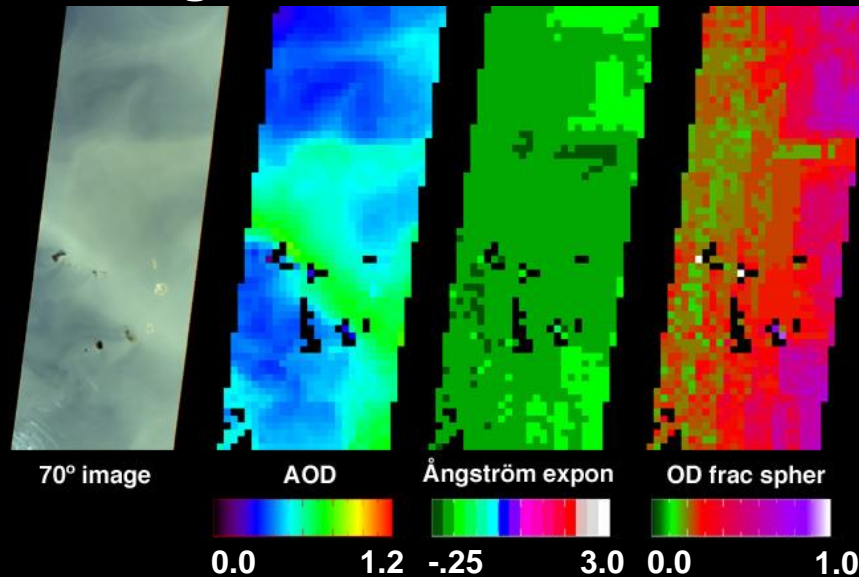
## Smoke from Mexico -- 02 May 2002

Aerosol:  
Amount  
Size  
Shape



Medium  
Spherical  
Smoke  
Particles

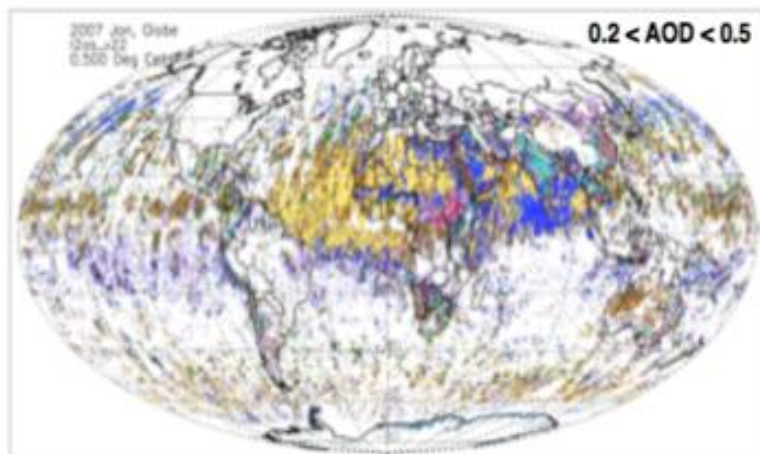
## Dust blowing off the Sahara Desert -- 6 February 2004



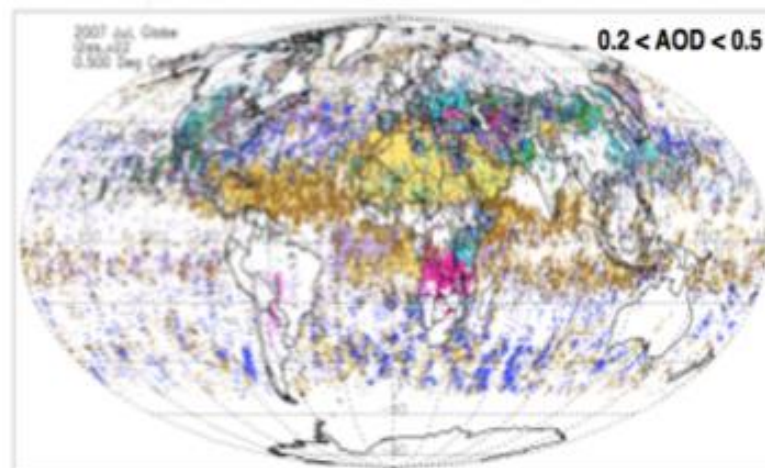
Large  
Non-Spherical  
Dust  
Particles



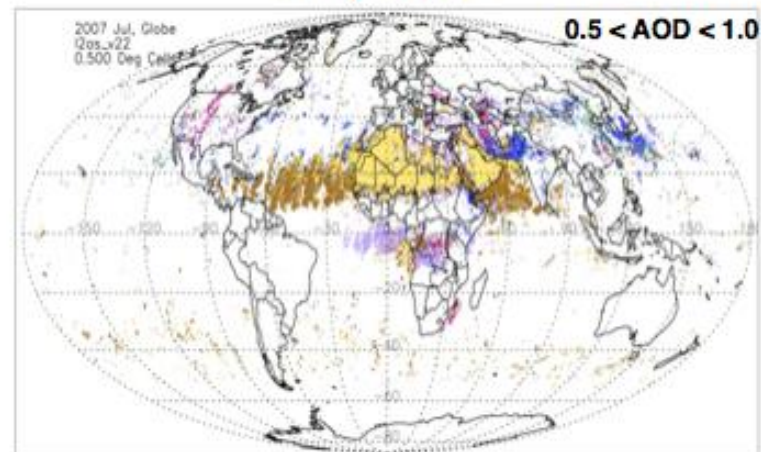
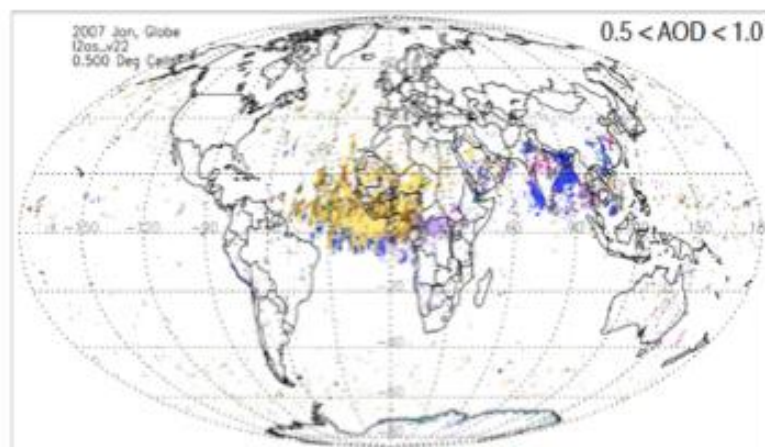
# ***MISR*** Retrieved-Physical-Properties Aerosol Type Discrimination



**January 2007**

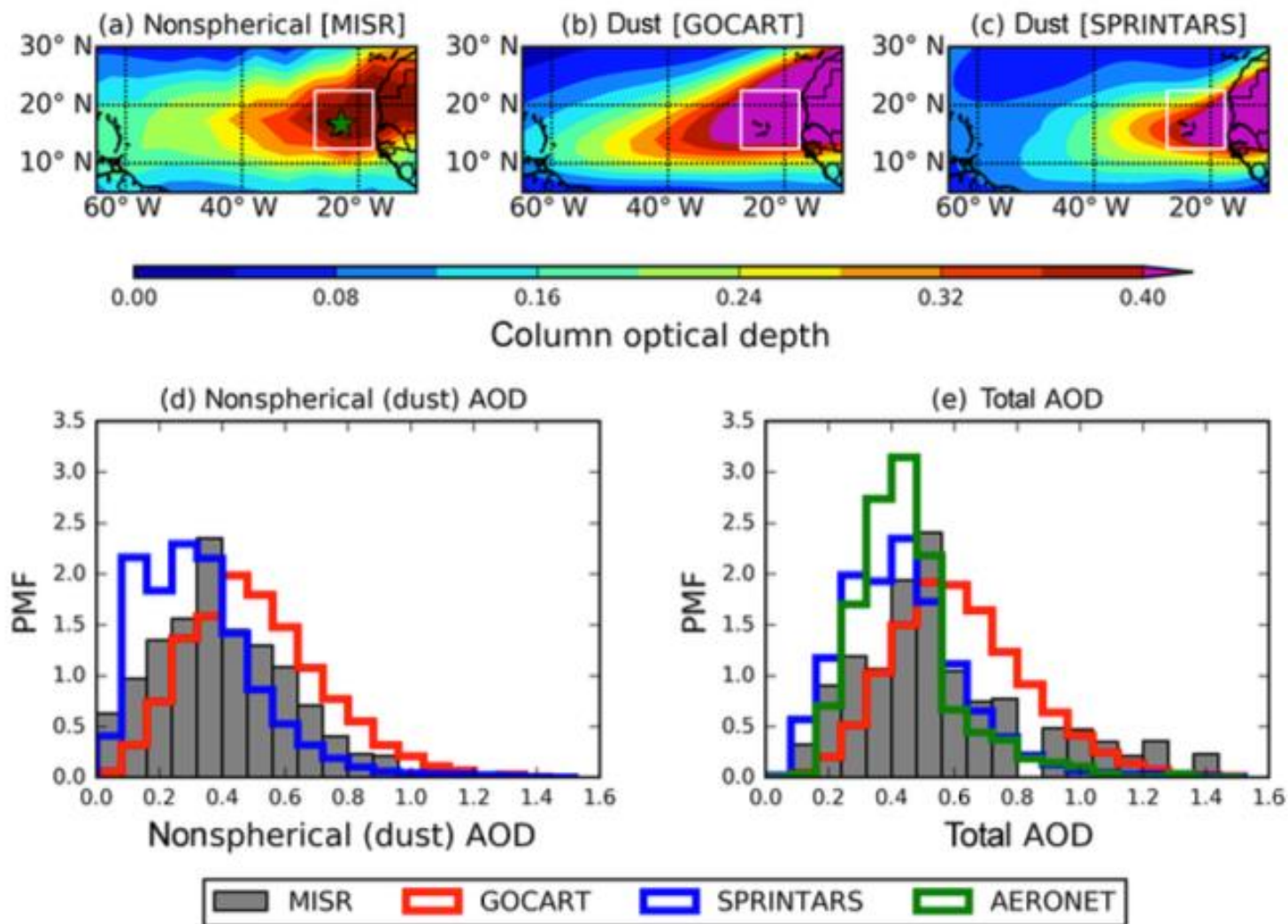


**July 2007**



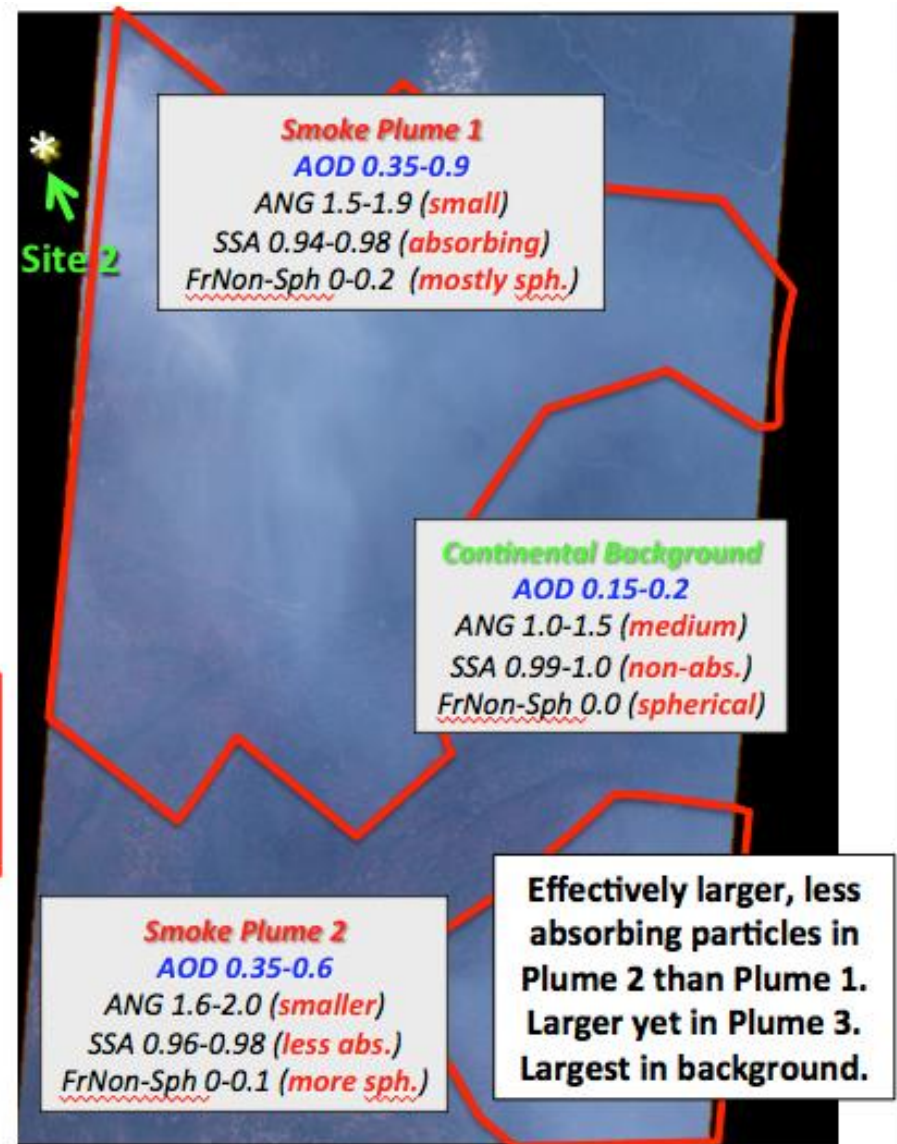
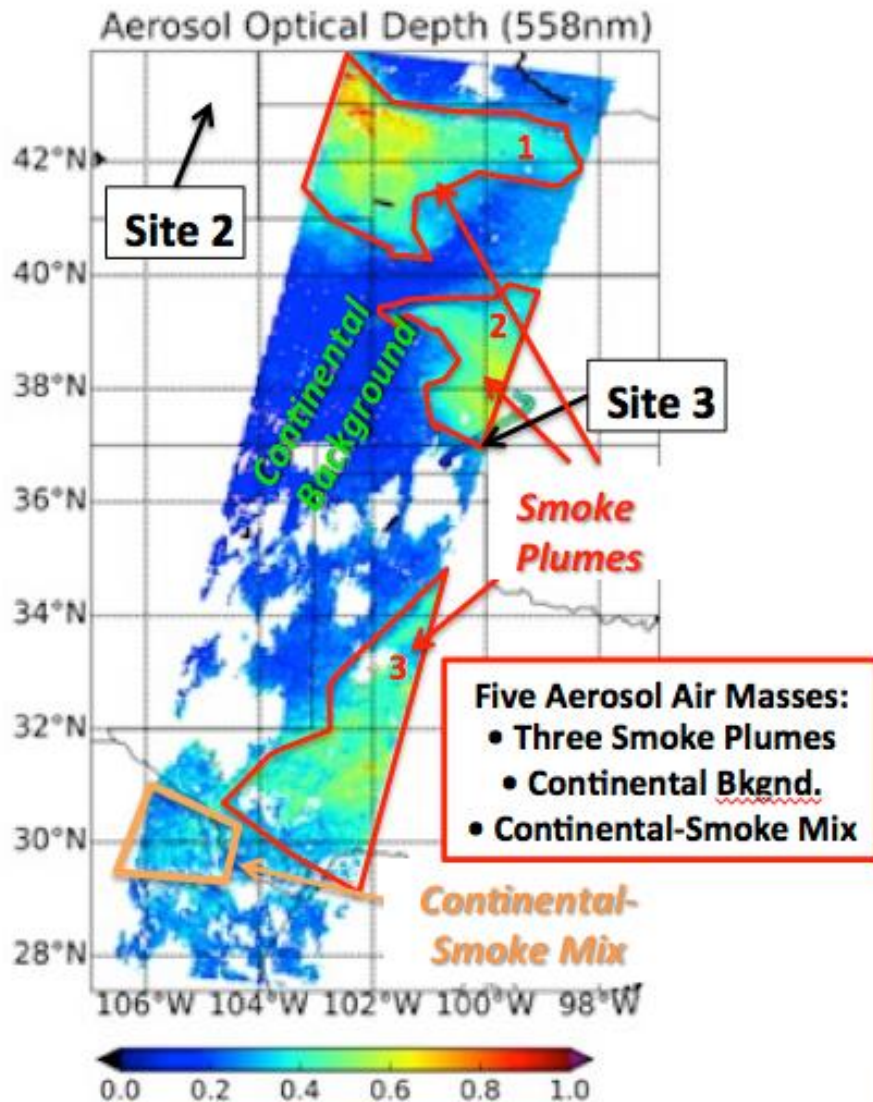
# *MISR Non-spherical (Dust) AOD Climatology*

Eight Julys – 2000 - 2007





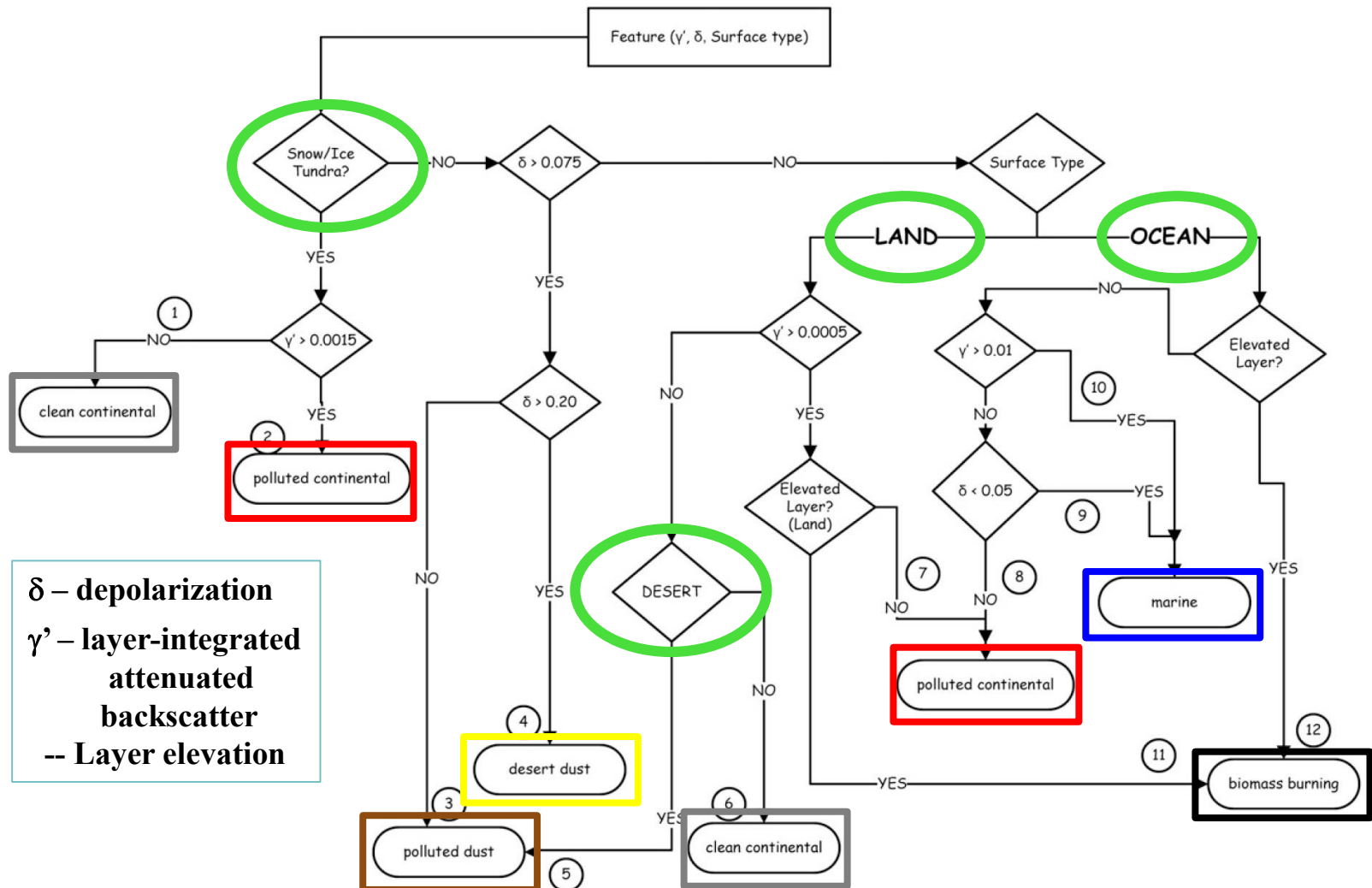
# SEAC<sup>4</sup>RS – MISR Overview 19 August 2013



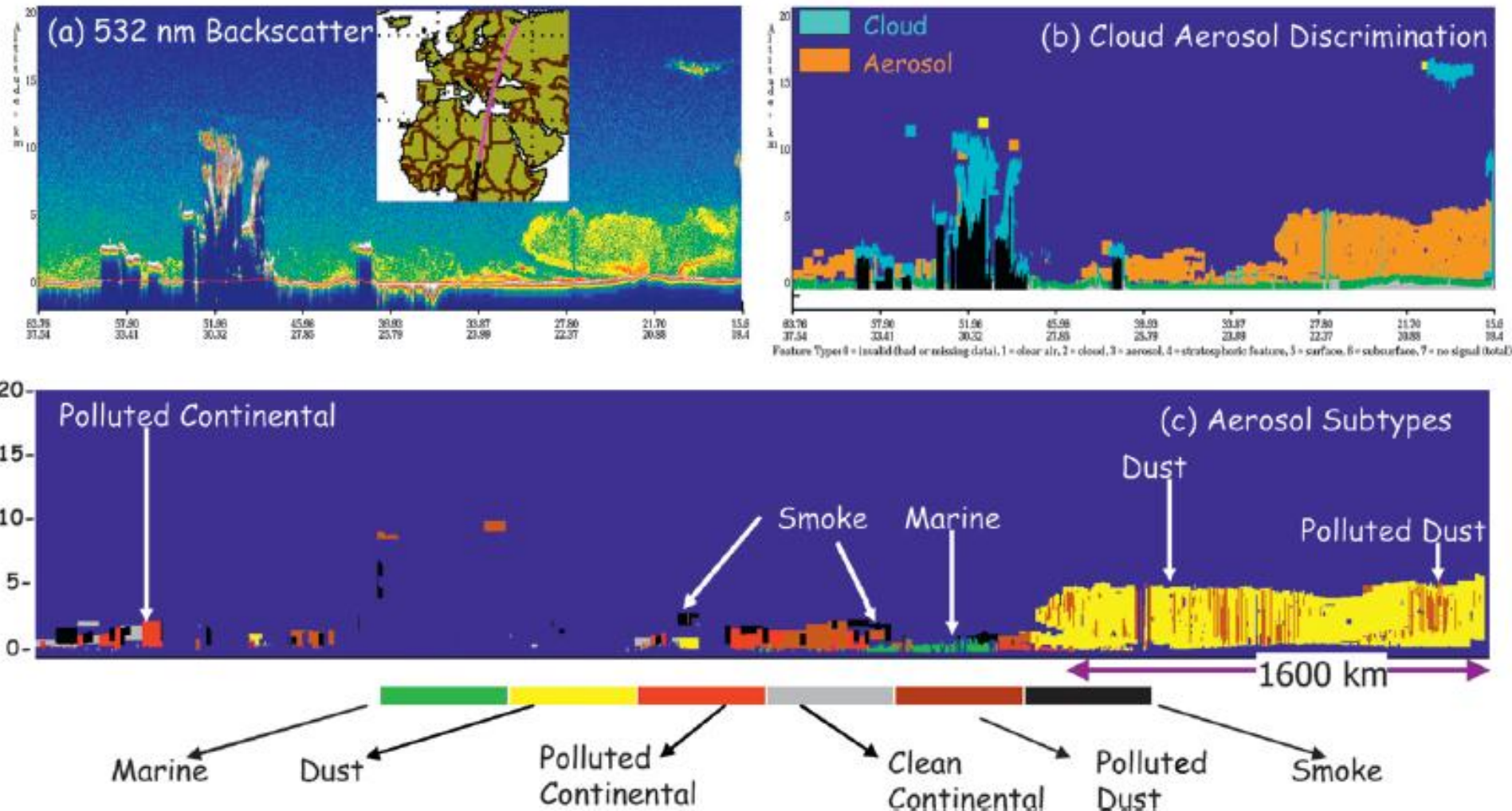
Passive-remote-sensing **Aerosol Type** is a **Total-Column-Effective, Categorical** variable!!



# CALIPSO 6-Type *Interpretive Composition* Classification Scheme

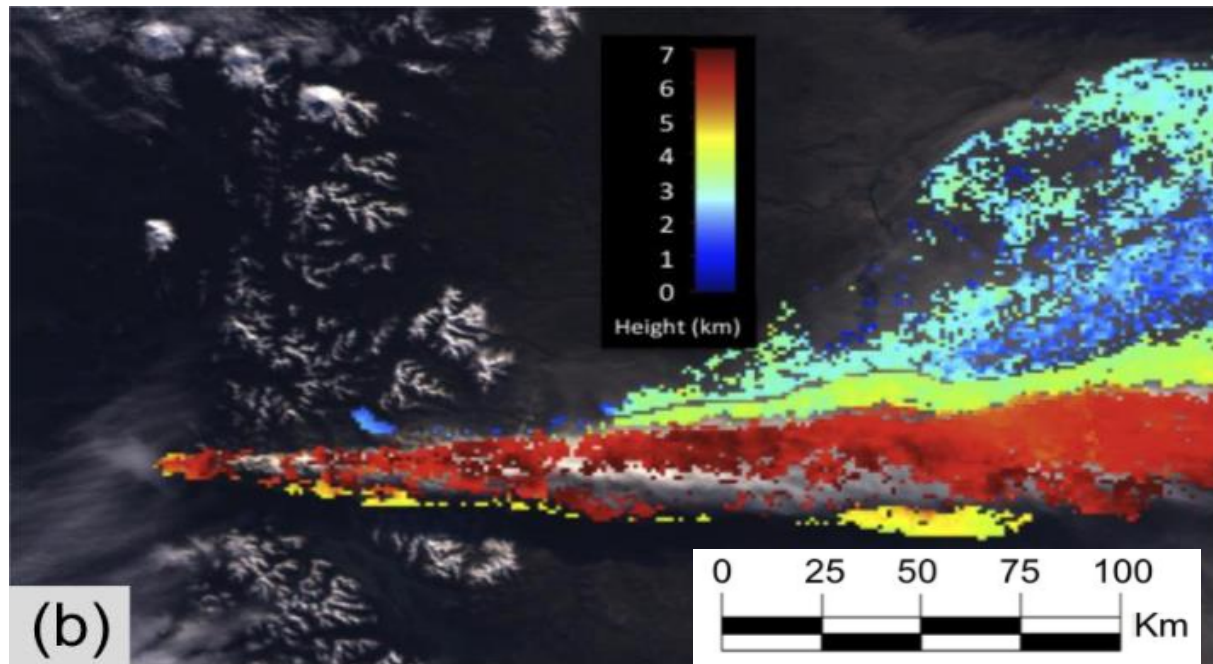
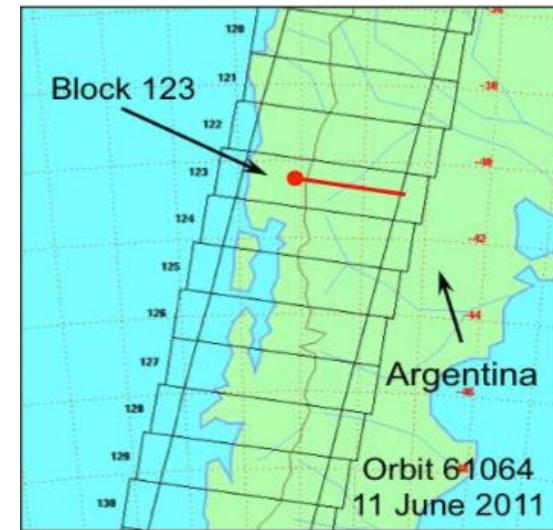
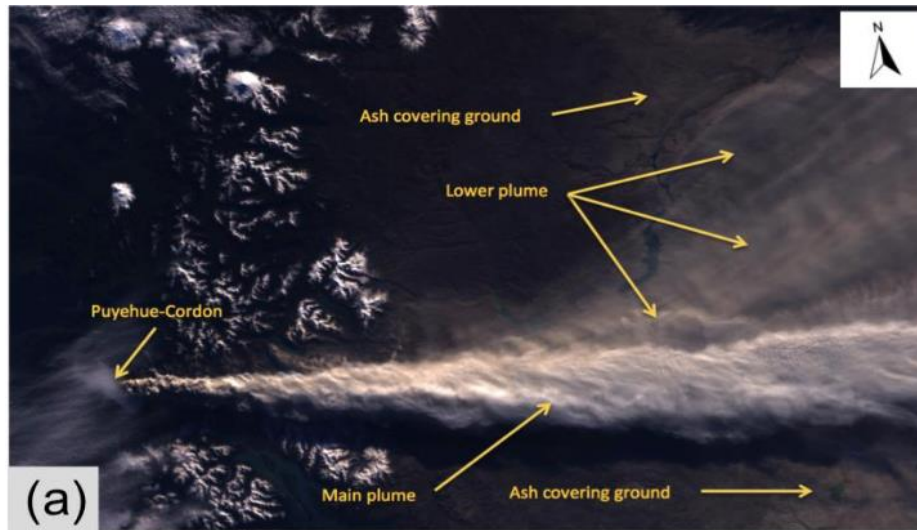


# ***CALIPSO*** 6-Grouping Aerosol Type Classification



# *MISR Stereo-Derived Plume Heights*

## *Puyehue-Cordon Volcano 11 June 2011*

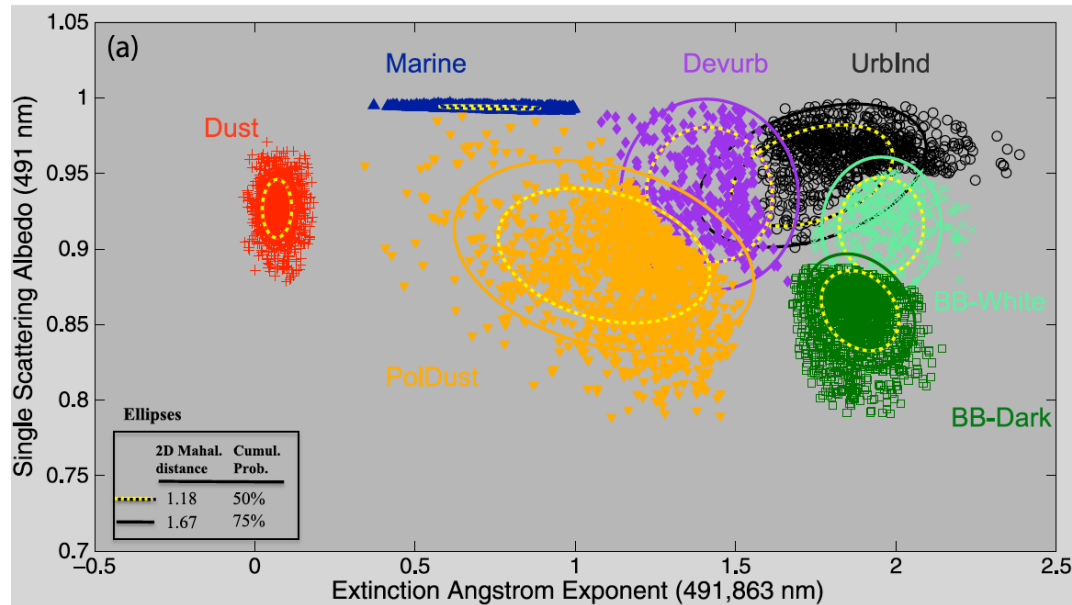




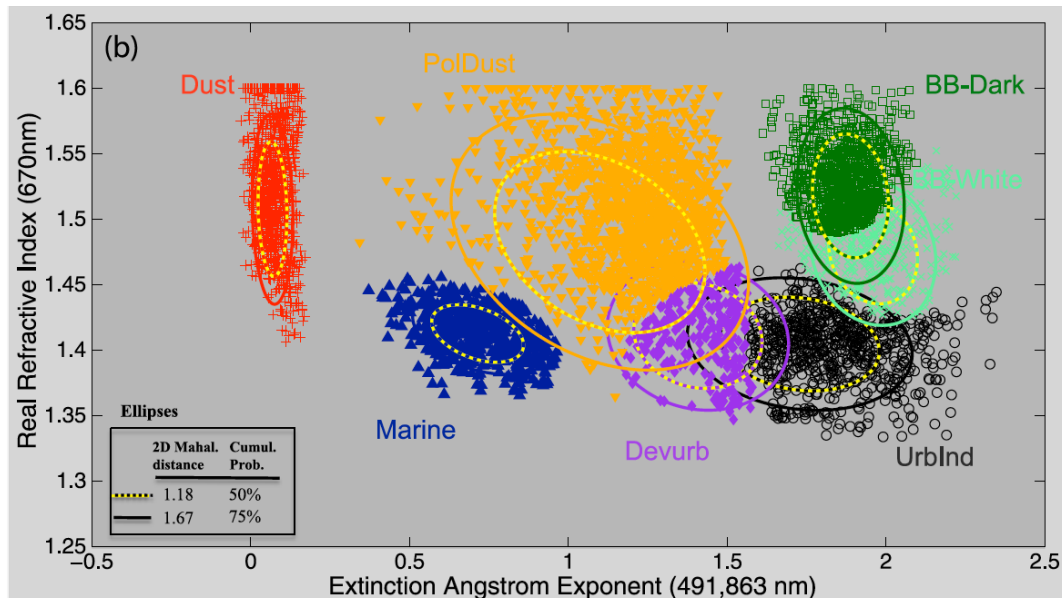
# ***AERONET*** Aerosol Type 7-Grouping Classification

Four-parameter  
AERONET-  
derived  
classification:

- $EAE_{491,863}$
- $SSA_{491}$
- $RRI_{670}$
- $dSSA_{863-491}$



7 Groupings  
 $SSA_{491}$  vs.  
Extinction ANG



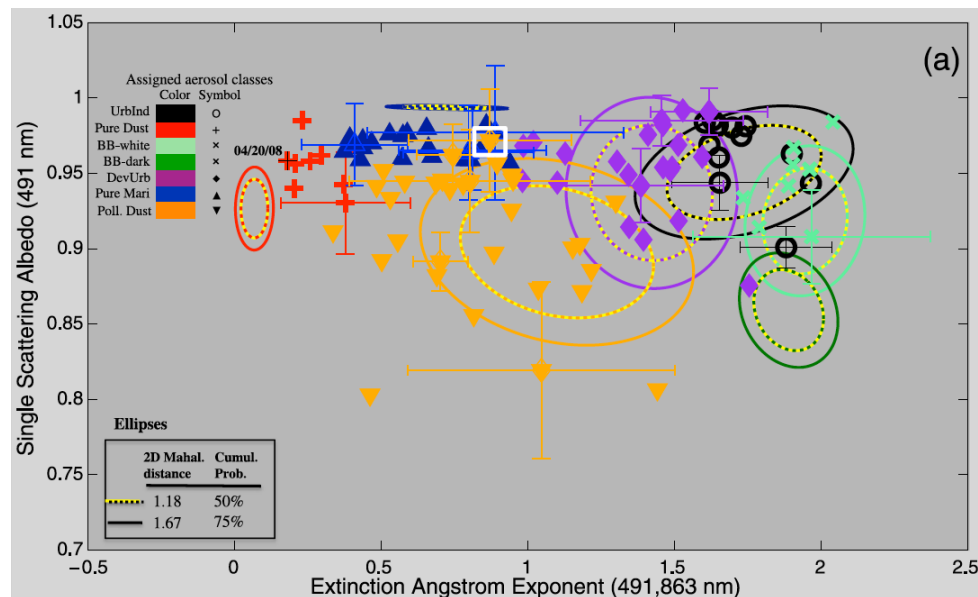
7 Groupings  
Real  $RI_{670}$  vs.  
Extinction ANG

# ***PARASOL* data at Forth Crete**

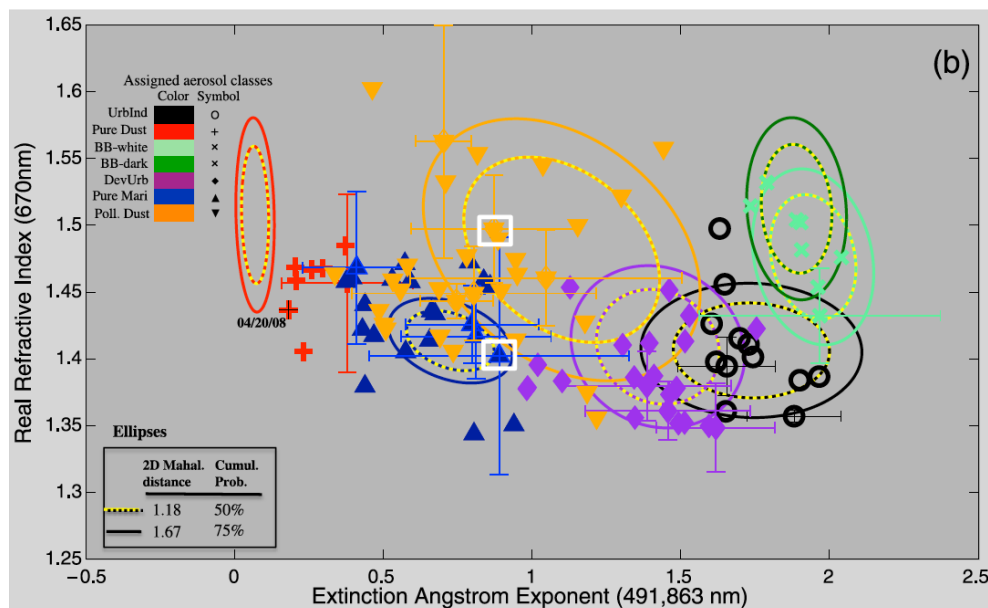
## **Projected onto the AERONET Aerosol Type Classification**

**Four-parameter  
AERONET-  
derived  
classification:**

- $EAE_{491,863}$
- $SSA_{491}$
- $RRI_{670}$
- $dSSA_{863-491}$

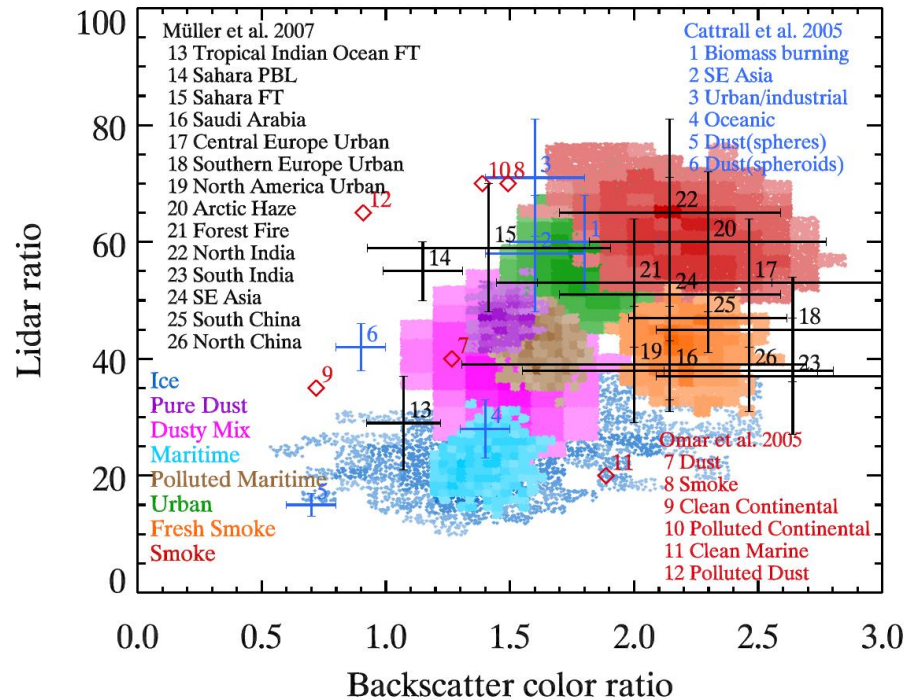


**7 Groupings  
 $SSA_{491}$  vs.  
Extinction ANG**



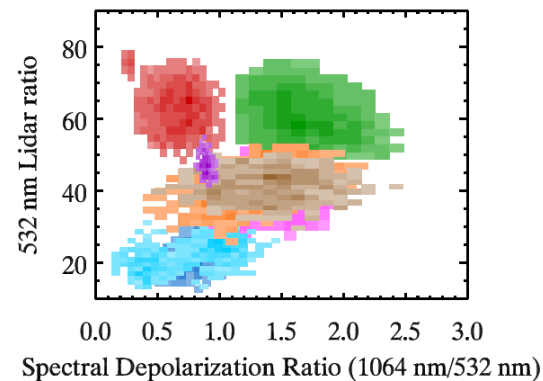
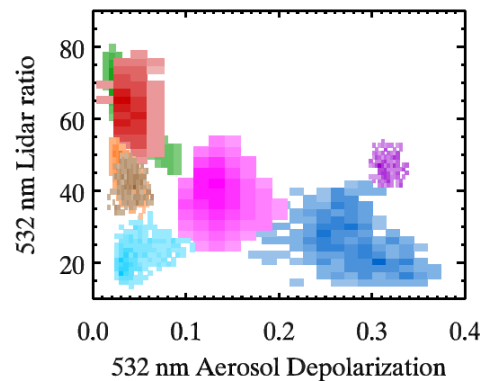
**7 Groupings  
Real  $RI_{670}$  vs.  
Extinction ANG**

# HSRL Aerosol Type 8-Grouping Classification



**Four-parameter  
AERONET-  
derived  
classification:**

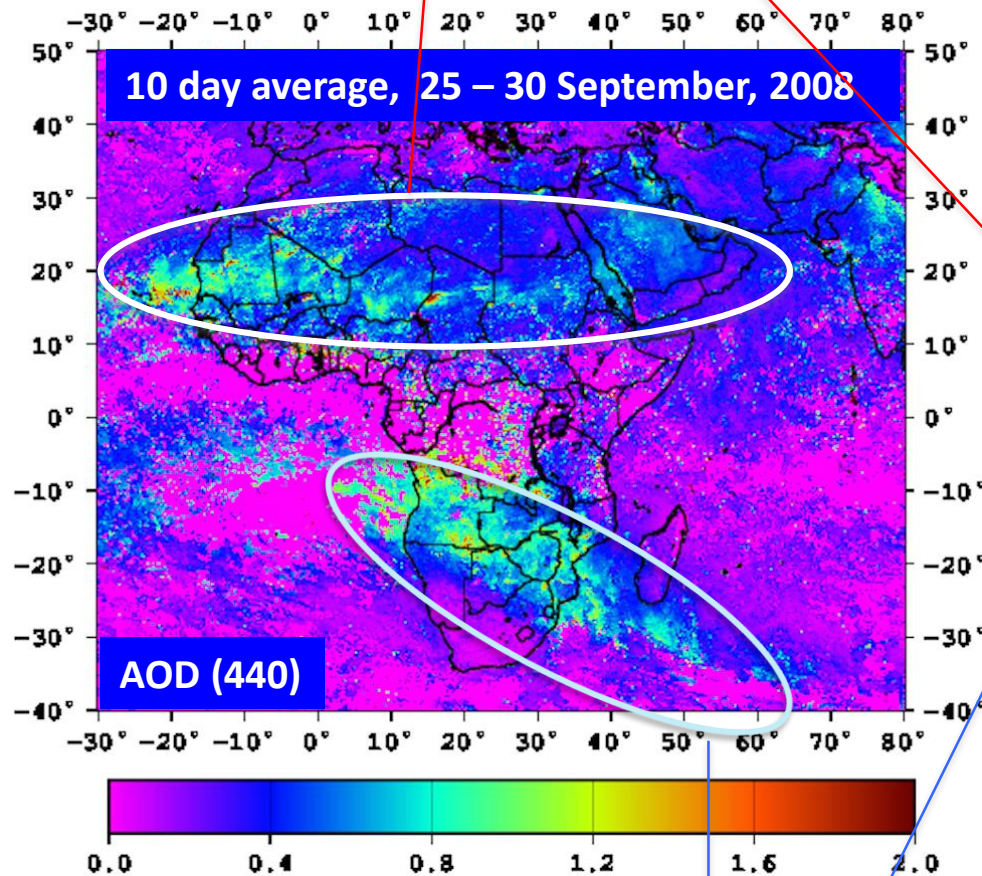
- $\alpha_{532}/\beta_{532}$
- $\beta_{1064}/\beta_{532}$
- $\delta_{532}$
- $\beta_{1064}/\delta_{532}$



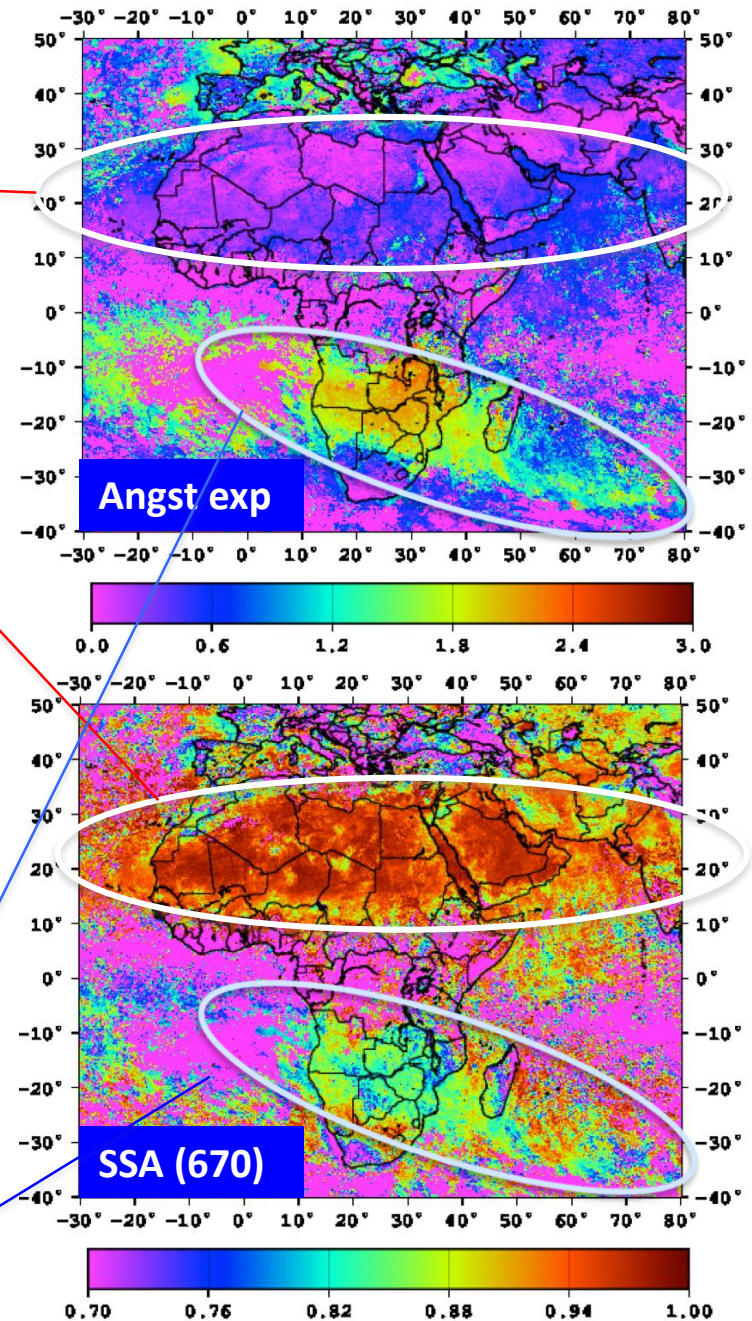


# GRASP: towards aerosol classification

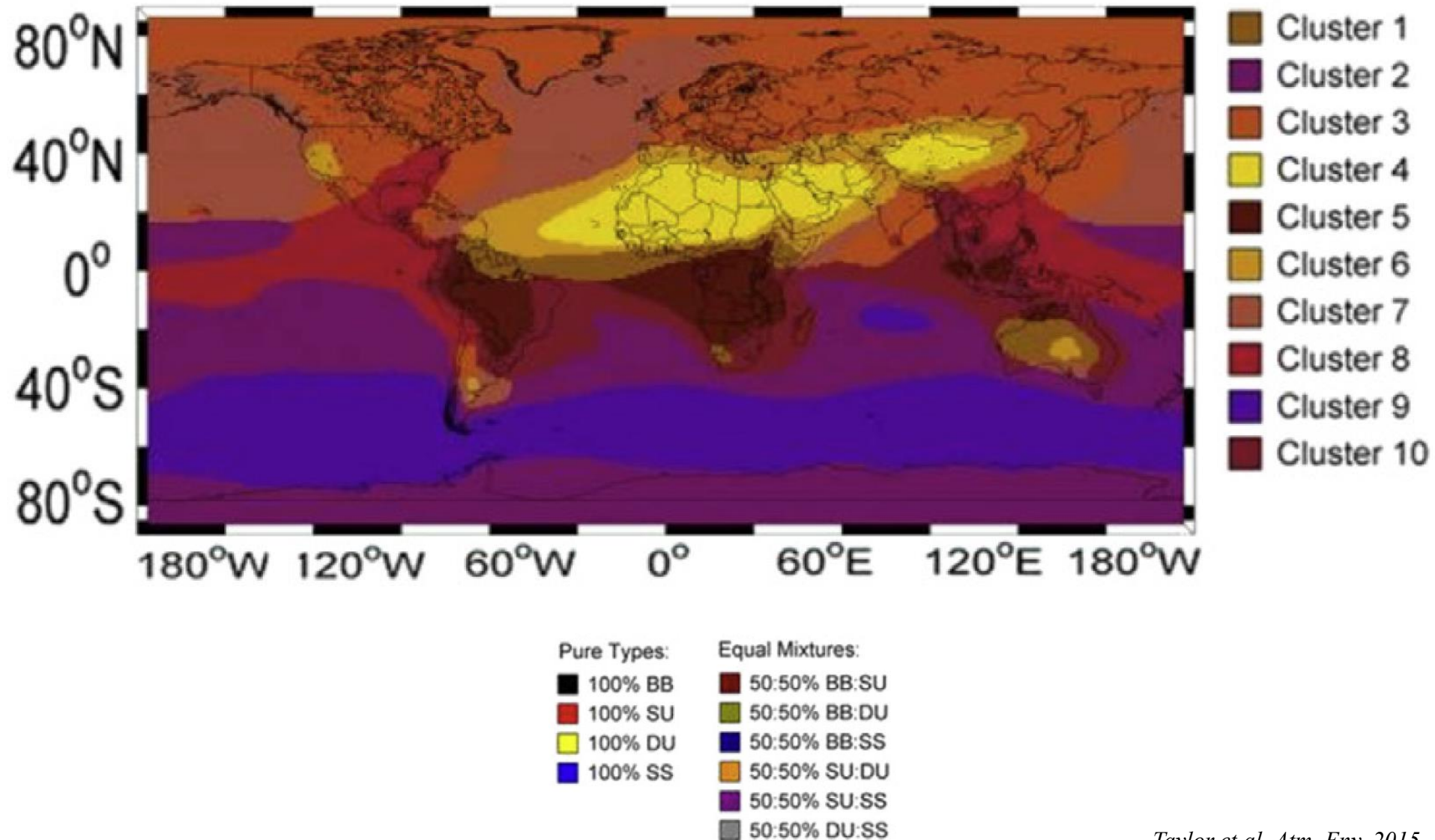
Desert Dust



Biomass Burning



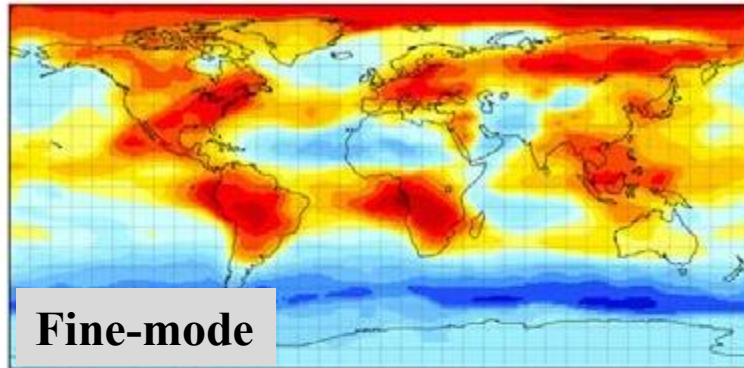
# GoCART *Model-Based Aerosol-Type* Clustering



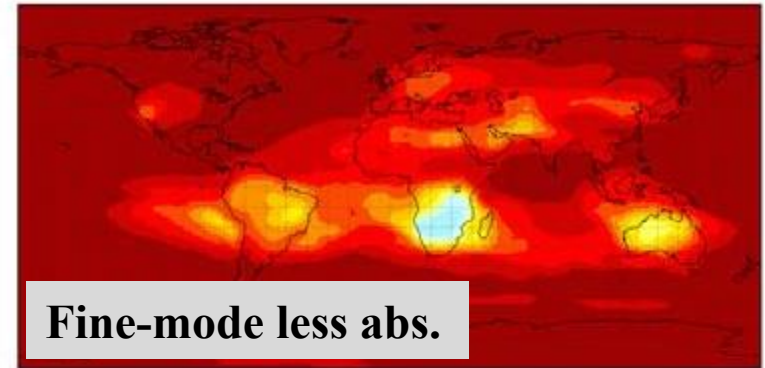


# AOD mixing (fractions) Model-based, from AeroCom

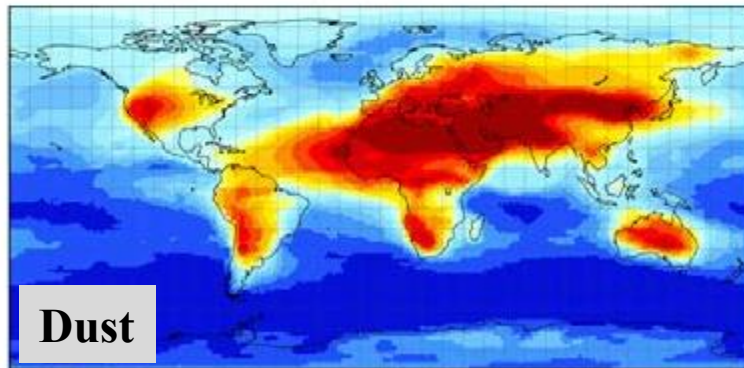
Fine mode fraction



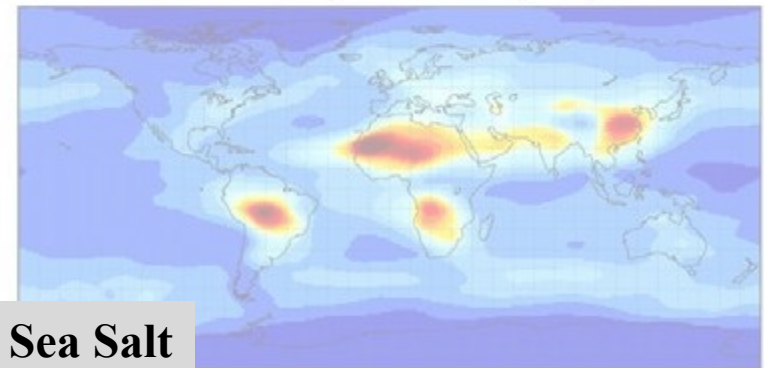
Fraction of the less absorbing component in the fine mode



Fraction of dust in the coarse mode



AOD550 (not used as a priori)





# Aerosol Type Validation Approach

- No **“Ground Truth”** except from Field Campaigns (*Golden Days*)
  - Unlike *Spectral AOD* (and *ANG*) from AERONET  
*Particle Properties* derived from AERONET entail **many more assumptions**
  - *Far fewer* Satellite-AERONET Sky-scan than Direct-sun Coincidences
- **Self-consistency** Tests
  - *Qualitative*, but useful
  - *Regional* and *Temporal Behavior* (stratified) vs. **Expectation**
- **Comparisons** with AERONET proxies
  - Compare *Seasonal*, *Inter-annual* patterns **Statistically**
  - *Fine-mode Fraction* (FMF)
  - *Effective radius* ( $r_e$ ) and *variance* ( $\sigma$ ) [two modes – *issue with def. of “modes”*]
  - *Single-scattering albedo* (SSA) [for AOD<sub>440</sub> > 0.4; AERONET SZA > 50°]
  - *Sphericity* (“%Sph.”) [for AERONET *ANG* < 1.0 only – few coincidences w/AOD>0.2]

# SAM-CAAM

[Systematic Aircraft Measurements to Characterize Aerosol Air Masses]



[This is currently a *concept-development effort*, not yet a project]

## Primary Objectives:

- Interpret and *enhance 15+ years of satellite aerosol retrieval* products
- *Characterize statistically particle properties* for major aerosol types globally,
  - to provide detail unobtainable from space, but needed to *improve*:
  - Satellite aerosol *retrieval algorithms*
  - The *translation between satellite-retrieved aerosol optical properties and species-specific aerosol mass and size tracked in aerosol transport & climate models*

# SAM-CAAM *Concept*

## [Systematic Aircraft Measurements to Characterize Aerosol Air Masses]

- *Dedicated Operational Aircraft* – routine flights, 2-3 x/week, on a continuing basis
- *Sample Aerosol Air Masses* accessible from a given base-of-operations, then move; project science team to determine schedule, possible field campaign participation
- Focus on *in situ measurements required* to characterize particle *Optical Properties*, *Chemical Type*, and *Mass Extinction Efficiency* (MEE)
- *Process Data Routinely* at central site; instrument PIs develop & deliver algorithms, upgrade as needed; data distributed via central web site
- Peer-reviewed Paper identifying *4 Payload Options*, of varying ambition; subsequent selections based on agency buy-in and available resources

SAM-CAAM is feasible because:

Unlike aerosol amount, *aerosol microphysical properties tend to be repeatable* from year to year, for a given source in a given season



## Aerosol Type Summary

- Remote-sensing can provide optical constraints interpreted as particle *Size, Shape, and Indices of Refraction*
- A *further* interpretative step, entailing additional assumptions, reports particle *Chemical Composition*
- Remote-sensing *sensitivity to particle properties is much more dependent than AOD on retrieval conditions*
- *Validation Data* for aerosol type are very limited
  - *Model simulations* and *In Situ measurements* help
- Better *aerosol type* constraints lead to *improved AOD* retrievals



## Satellites

frequent, global  
*snapshots*;  
aerosol amount &  
aerosol type maps,  
plume & layer heights

Aerosol-type  
Predictions;  
Meteorology;  
Data integration

## Model Validation

- Parameterizations
- Climate Sensitivity
- Underlying mechanisms

Must stratify the global satellite  
data to treat appropriately  
situations where **different**  
**physical mechanisms** apply

## Remote-sensing Analysis

- Retrieval Validation
- Assumption Refinement

## Regional Context

## CURRENT STATE

- Initial Conditions
- Assimilation

## Suborbital



targeted chemical &  
microphysical detail



point-location  
time series



## Models

space-time interpolation,  
**Aerosol Direct &  
Indirect Effects**  
calculation and prediction